

THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4  
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ORIGINALLY FILED

Serial No.: 10/037496

Filed: January 2, 2002

Applicant: Chan

Atty Dkt: 0953.061B

Group Art Unit: 2874

Examiner: Unknown

Title: BLOCKLESS FIBER OPTIC  
ATTENUATORS AND ATTENUATION  
SYSTEMS EMPLOYING DISPERSION  
TAILORED POLYMERS

Confirmation No. 6627

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231, on May 8, 2002.

Martha L. Boden

Attorney for Applicants

Reg. No. 39,115

Date of Signature: May 8, 2002

To: Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

COMMUNICATION TO SUBMIT  
REPLACEMENT APPLICATION DATA SHEET AND  
REQUESTING CORRECTED FILING RECEIPT

Dear Sir:

The Application Data Sheet filed concurrently with the above-captioned application listed the following five inventors: Kevin J. McCallion, Gregory A. Wagoner, Kwok P. Chan, David G. Gascoyne, and Robert C. Tater. However, as indicated in the enclosed executed Declaration and Power of Attorney form, Kwok Pong Chan is the only inventor who had an inventive (intellectual) contribution to the subject matter of the one claim pending therein. A copy of the original Application Data Sheet (with incorrect inventor information crossed out in red ink) and a replacement Application Data Sheet are enclosed.

Please substitute the replacement Application Data Sheet for the one now on file.

USSN: 10/037496  
Atty Dkt.No.: 0953.061B

Furthermore, the Official Filing Receipt received in the present case also lists the aforementioned five inventors as Applicants. Please provide a corrected Filing Receipt with the correct Applicant, Kwok P.Chan, listed. A copy of the original Filing Receipt with the incorrect inventors crossed out in red ink is enclosed.

More particularly, in the Filing Receipt:

**In the Applicants:**

Please delete all inventors except: Kwok P. Chan, so that it reads:

Kwok P. Chan, Troy, NY

Any communication regarding this matter should be directed to the undersigned.

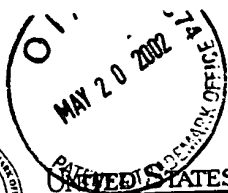
Respectfully submitted,



Martha L. Boden  
Attorney for Applicants  
Reg. No. 39,115

Dated: May 8, 2002

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/037,496	01/02/2002	2874	370	0953061B	8	1	1

CONFIRMATION NO. 6627

## FILING RECEIPT



\*OC000000007724617\*

Martha L. Boden  
 Heslin Rothenberg Farley & Mesiti P.D.  
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 Albany, NY 12203

Date Mailed: 03/27/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

~~Kevin J. McCallion, Boston, MA;~~  
~~Gregory A. Wagoner, Sunnyvale, CA;~~  
~~Kwok P. Chan, Troy, NY;~~  
~~David G. Gascoyne, Schenectady, NY;~~  
~~Robert C. Tater, Saratoga Springs, NY;~~

## Assignment For Published Patent Application

Molecular OptoElectronics Corporation, Watervliet, NY;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/789,093 02/20/2001 PAT 6,335,998  
 WHICH IS A CON OF 09/139,787 08/25/1998 PAT 6,205,280  
 AND A CIP OF 09/139,832 08/25/1998

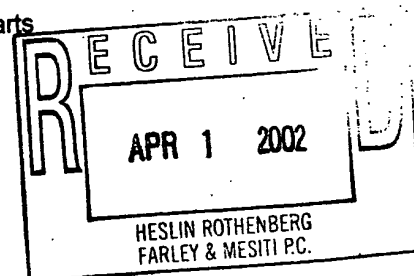
## Foreign Applications

If Required, Foreign Filing License Granted 03/27/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No



**\*\* SMALL ENTITY \*\***

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Title

Blockless fiber optic attenuators and attenuation systems employing dispersion tailored polymers

Preliminary Class

385

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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